



REPUBLIC OF THE PHILIPPINES
NATIONAL ECONOMIC AND DEVELOPMENT AUTHORITY

MEMORANDUM

FOR : Heads of All National Government Agencies (NGAs), Government- Owned and Controlled Corporations (GOCCs), Government Financial Institutions (GFIs) and Other National Government Offices

FROM : Secretary Ernesto M. Pernia
National Economic and Development Authority

SUBJECT : **FORMULATION OF THE 2017-2022 PUBLIC INVESTMENT PROGRAM (PIP)**

DATE : JAN 20 2017

1. Pursuant to Memorandum Circular No. 12 dated 24 October 2016¹ issued by the Office of the President and following issuance of the Planning Guidelines for the Formulation of the 2017-2022 PDP and PIP by NEDA, this is to call for the submission of priority programs and projects for inclusion in the 2017-2022 PIP.
2. The PIP contains the priority programs and projects to be implemented by the national government (NG), government-owned and controlled corporations (GOCCs), government financial institutions (GFIs), and other national government offices and instrumentalities that contribute to the societal goals and outcomes in the PDP and RM, and within the medium term.
3. In view of this, we are requesting all concerned national government agencies/offices to submit their Agency/Office PIP to NEDA. Please be guided by the attached **Guidelines for the Formulation of the 2017-2022 PIP (Attachment 1)** with the following attachments (also accessible in the NEDA website and the PIP Online System):
 - a. Annex A – Guidelines for the Annual Updating of the Current Core Investment Programs and Projects (CIPs) issued to the agencies through a memorandum dated 30 June 2016
 - b. Annex B – Official endorsement letter template of Agency PIP submission to NEDA
 - c. Annex C – Criteria for Inclusion and Prioritization of Programs and Projects in the PIP
 - d. Annex D – Activities and Timelines for the Formulation of the 2017-2022 PIP
 - e. Annex E – PIP Formulation and Updating Process Flow

¹ Directing the NEDA Secretariat to coordinate the preparation of the PDP, PIP and the Regional Development Plans/Investment Programs for 2017-2022

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4. In submitting the Agency/Office PIP, agencies shall utilize the **PIP Online (PIPOL) System**, a web-based project database system that manages data entry and updates on programs and projects, including the generation of reports. This can be accessed at URL address: **<https://pipol.neda.gov.ph>** starting 01 February 2017 for the submission of Programs, Activities and Projects (PAPs) for the 2017-2022 PIP².
5. To ensure accountability, accuracy and timeliness of submission of Agency inputs to NEDA, we would like to request you to nominate your official Agency PIP Focal with at least Director IV level position, and two (2) technical staffs who will be authorized to access the PIPOL System and encode the data requirements for Programs, Activities and Projects (PAPs) to be included in the PIP using the following:
 - (a) **Agency PIP Focal Designation Form (Attachment 2-A)** for agencies designating new PIPOL System users; or
 - (b) **Confirmation of Agency PIP Focal Designation Form (Attachment 2-B)** for agencies/offices which have utilized the PIPOL System during the Updating of the CIPs/TRIP in 2016 and would like to reconfirm same users as the designated Agency PIP Focal.

The designated PIPOL user from the agencies will be given a username and password to access the system.

6. While a User's Manual can be accessed through the PIPOL System, we are likewise convening Briefings on the Guidelines and Hands-On Sessions on the PIPOL System by Planning Committee/PDP Chapter **from January 30 to February 10, 2017, 1:00pm to 5:00 pm at the NEDA Board Room, 6/F NEDA sa Pasig Building, 12 St. Josemaria Escriva Drive, Pasig City.** To address technical support requests from agencies, agencies may contact our **PIPOL System Help Desk at 631-2165 or 631-0945 loc. 404 from 9:00 am to 5:00 pm, Monday to Friday or email pip@neda.gov.ph.**
7. We would appreciate receiving the duly accomplished **Agency PIP Focal Designation Form (Attachment 2-A or 2-B)**, and **Confirmation Slip for the Briefing (Attachment 3)** by facsimile to 631-3748 or email pip@neda.gov.ph **on or before 27 January 2017, Friday, 12:00 noon** so we can provide your respective Agency username and password, and copy of the PIPOL System User's Manual. For any queries, please do not hesitate to contact our Help Desk.

Thank you.



ERNESTO M. PERNIA
Secretary of Socioeconomic Planning

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² The PIPOL System was opened on July 15, 2016 for the Updating of CIPs of the PIP.

Guidelines for the Formulation of the 2017-2022 Public Investment Program (PIP)

I. Objective

This set of Guidelines defines the framework and process in the formulation of the 2017-2022 PIP, which is an accompanying document of the Philippine Development Plan (PDP)¹.

II. Mandate

As mandated by Executive Order No. 230, s. 1987, the National Economic and Development Authority (NEDA) shall be responsible for coordinating the formulation of continuing, and integrated socio-economic development plans, policies and programs, including the formulation of annual and medium-term public investment programs. The linkage of gender-responsive development planning, programming and budgeting shall be of the highest priority in planning, programming and budgeting activities.

III. Plan-Program-Budget Framework

The **Public Investment Program (PIP)** is a six-year programming document accompanying the **PDP**² together with the **Results Matrices (RM)**³. It is anchored to the **Long Term Vision (LTV)**⁴ for the Philippines or **Ambisyon Natin 2040** and takes into account the **2030 Sustainable Development Agenda** and the **President's 0 +10 Point Socioeconomic Agenda**. The PIP contains the priority programs and projects to be implemented by the national government (NG), government-owned and controlled corporations (GOCCs), government financial institutions (GFIs), and other national government offices and instrumentalities that contribute to the societal goals and outcomes in the PDP and RM, and within the medium term.

¹ Pursuant to Memorandum Circular No. 12 dated 24 October 2016 directing the NEDA Secretariat to coordinate the preparation of the PDP, PIP and the Regional Development Plans/Investment Programs for 2017-2022.

² The PDP is a national planning document, prepared at the start of a new leadership, which highlights the aspirations and goals of the country for the next six (6) years, including sector and sub-sector outcomes which is contained in the RM.

³ The RM is an instrument designed to provide results orientation of the Plan by highlighting the achievement of results at different levels (i.e. outputs, outcomes and impacts). It contains statement of results to be achieved for sector and sub-sector outcomes with corresponding baseline information, indicators, end of Plan targets and responsible agencies.

⁴ The LTV embodies the aspirations of the Filipino people and will serve as a guide in development planning across administrations.

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The PIP will incorporate proposed NG-implemented programs and projects in the **Regional Development Investment Program (RDIP)**⁵ Under this Guidelines, the sectoral inputs of national agencies is intended to be spatially coherent with the RDIP as part of NEDA's continuing effort to link the spatial consistency of the PIP with the RDIPs.

A subset of the PIP is the **Three (3)- Year Rolling Infrastructure Program (TRIP)**⁶ and the **Core Investment Programs and Projects (CIP)**⁷ [Please refer to *Annex A* for the detailed CIP Guidelines].

In the formulation of the PIP, the RM will also serve as the guide in aligning programs/activities/projects (PAPs) to the sector outcomes of the PDP, and the Program Expenditure Classification (PREXC) Approach under the Performance Informed Budgeting (PIB) of the Department of Budget and Management (DBM).

In terms of budgeting, individual departments should link the annual budget to the Plan by ensuring that national programs and projects submitted for inclusion in the National Expenditure Program (NEP) and funded under the General Appropriations Act (GAA) are consistent with the Plan, and included in the PIP. The PIP serves as a mechanism to improve resource mobilization towards the achievement of sector outcomes, as embodied in the PDP, and to channel resources to priority investment areas. Programs and projects included in the PIP shall be accorded priority in the review of annual budget proposal of line agencies by the oversight agencies.

IV. Formulation of the 2017-2022 PIP

A. Agency Submission of PAPs for inclusion in the PIP

A.1 Projects for Inclusion in the PIP

Agencies shall submit PAPs for inclusion in the 2017-2022 PIP, which shall consist of Tier 1 (continuing) and Tier 2 (new and expanded) PAPs aligned with the 2017-2022 PDP and RM. The 2017-2022 PIP shall include PAPs, which satisfy ALL of the following criteria:

1. Responsiveness

- a. Responsive to and aligned with the PDP and RM, and the 0+10-point Socioeconomic Agenda; **AND**

⁵ The RDIP contains priority programs and projects that contribute to the societal goals and outcomes spelled out in the Regional Development Plan and its Results Matrices. The PIP will consider NG-implemented programs and projects in the RDIPs which contribute to the sectoral outcomes in the PDP and RM, are for implementation within 2017-2022 and are endorsed by the Regional Development Councils (RDCs).

⁶ TRIP contains the nationally-funded infrastructure programs and projects based on the synchronized planning, budgeting and programming process of government.

⁷ CIP contains the big ticket programs and projects of the PIP that serves as pipeline for the NEDA Board and Investment Coordination Committee (ICC).

- b. Included in any of the following:
 - i. National Expenditure Program (NEP)
 - ii. General Appropriations Act (GAA)
 - iii. Multi-Year Obligation Authority (MYOA)
 - iv. Existing masterplan/sector studies/procurement plan
 - v. Signed Multilateral/ Bilateral agreement(s) between government and development partner ;
 - vi. List of Regional Development Council-endorsed projects.

2. Readiness

- a. To be implemented within 2017-2022; **AND**
- b. With pre-investment study available as follows:
 - i. Level 1 – with NEDA Board and/or ICC⁸ project approval but not yet ongoing
 - ii. Level 2 - with Feasibility Study (FS) completed, for ICC processing in 2017 (where applicable) and for inclusion in the NEP for 2018
 - iii. Level 3 - with FS currently being prepared and to be completed in 2017, for ICC processing in 2018 (where applicable) and for inclusion in the NEP for 2019
 - iv. Level 4 - with Concept Paper and FS for completion in 2018, for ICC processing in 2019 (where applicable) and for inclusion in the NEP for 2020

3. Typologies of PAPs that can be included in the PIP. The following Tier 1 and 2 PAPs responsive to the PDP and RM, and 0 + 10-point Socioeconomic Agenda are eligible for inclusion in the PIP:

- a. Capital investment projects to deliver public goods and services that contribute specifically to the country's productive capacity (e.g. infrastructure development projects, delivery of social services). The requirements for pre-investment activities (eg. Master Plans, Feasibility Studies, etc.) that must be undertaken to develop the capital investment project for approval and implementation, as well as project implementation requirements for Right-of-Way and Resettlement that may be needed, shall be included in the expected timelines and costs of the project.
- b. Technical assistance and institutional development activities (e.g. human resource capacity building, system/process improvements)
- c. Relending activities of GFIs to national government offices and/or LGUs

⁸ For programs and projects which are not covered by ICC review, implementing agencies shall coordinate with the DBM for inclusion in the NEP.

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- d. Projects that promote Philippine culture and values, specifically empowering communities and strengthening the Filipino identity as a nation of diversity, and by sustaining and enhancing cultural assets to foster creativity and innovation for national development.

The PAPs could be implemented either through the use of local funds, official development assistance (ODA) or public-private partnership (PPP)¹⁰.

The PIP excludes recurrent cost on the general operations of the government, personnel services, and/or guarantee-related activities to private institutions, including programs and projects to be financed purely from LGU revenues and independent projects of the private sector and those of non-governmental organizations.

A.2 Formulation of the 2017-2022 PIP through the PIP Online (PIPOL) System

1. Agencies shall submit PAPs for inclusion in the PIP via online submission through the PIPOL system. This can be accessed at URL address: **<http://pipol.neda.gov.ph>**.
2. In submitting inputs for the PIP, Agencies/Offices can reconfirm priority accorded to CIPs/PIPs earlier inputted in the PIPOL System during the CIP updating exercise by resubmitting it through the System, adding new priority projects or deleting projects that are no longer priority.
3. Agencies can start inputting PAPs in PIPOL System on 01 February 2017 and the deadline for online submission is on 15 March 2017. **The system will only accept PIP submission duly endorsed by the Head of Agency¹¹ on or before the deadline** (official endorsement letter template provided in *Annex B* and can be generated from the PIPOL System). Agencies will be given username and passwords to access the system.
4. To guide agencies in utilizing the PIPOL System, the **User's Manual** can be accessed through the PIPOL System. Likewise, briefings and hands-on sessions with the agencies on the system will be conducted.

¹⁰These include projects implemented by national government offices that may be financed, constructed, operated and maintained by the private sector through (a) the Contractual Arrangements or schemes authorized under these under R.A. 7718 or the Build-Operate-Transfer (BOT) Law and its Implementing Rules and Regulations; (b) Revised Guidelines and Procedures for Entering into Joint Venture Agreements Between Government and Private Entities (May 2013).

The following types of project to be implemented under the BOT Law shall be included in the PIP: (i) Solicited projects; and (ii) Unsolicited Projects which have been approved by the NEDA Board/ICC with signed concession agreement considering that ICC/NB approval and signed contract are indication of commitments of the government to undertake the project.

¹¹ In the case of GOCCs, endorsement of the corporation's President/Chairman will be accepted.

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A.3 Prioritization of the PIP

A set of prioritization criteria is provided in *Annex C* to guide the agencies in submitting PAPs based on responsiveness and readiness for implementation. Similarly, this set of prioritization criteria shall be the basis of the NEDA Secretariat and the Planning Committees/Subcommittees in the review and validation of the Agency PIP submission for inclusion on the 2017-2022 PIP.

A.4 Spatial/Regional Dimension of the PIP

1. The agencies shall confer with their respective regional offices in the formulation of the Agency PIP, and prioritize PAPs that complements with other productive sectors of the economy and with identified inter-regional or national impact contributing to the goals and targets of the PDP and RM.
2. The agencies are also expected to validate and consider the proposed NG-implemented programs and projects from the RDIP submitted by the NEDA Regional Offices (NROs) through PIPOL System.

A.5 Good Governance and Rights-based Approach to Investment Programming

In keeping with government's effort to promote good governance and human rights principles in the development of programs and projects, agencies are advised to accomplish the content checklist from the Good Governance Toolkit and follow the pertinent guidelines from the HRBA questionnaire in the screening and selection of PAPs for inclusion in the PIP. The checklists can be accessed through the following links:

1. Good Governance Checklist for PIP (Process checklist):
<https://drive.google.com/open?id=0B6ecPbjKR0-rQWYzcUhZdGRSOUU>
2. Good Governance Checklist for PIP (Content checklist):
<https://drive.google.com/file/d/0B6ecPbjKR0-rWW5VWVVSNOY3cnc/view?usp=sharing>
3. RBA Mainstreaming Checklist:
<https://drive.google.com/file/d/0B6ecPbjKR0-rQmR4VFlxWHNDUUE/view>

A.6 Harmonized Gender and Development Guidelines (HGDD) for Investment Program Formulation

The Implementing Rules and Regulations (IRR) of RA 9710 or the Magna Carta of Women provides that (a) *at least five percent (5%) of the total agency or LGU budget appropriations shall correspond to activities supporting GAD Plans and Programs*; and (b) *Gender-Responsiveness of ODA-funded Projects (included and not included in the annual General Appropriations Act) – NGAs and LGUs and other government instrumentalities shall ensure that five to thirty percent (5- 30%) of funds received from foreign governments and multilateral agencies are in support of gender-responsive programs and projects.* Given this, the

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implementing agencies are enjoined to use the **HGDG for Project Development, Implementation, Monitoring and Evaluation for project development, implementation, monitoring and evaluation** in the preparation of the Agency PIP. The HGDG can be accessed through this link: http://w3.neda.gov.ph/hgdg/left_nav.html.

B. NEDA Secretariat validation of the Agency PIP submission.

The NEDA Secretariat shall only accept duly endorsed Agency PIP submitted by the Head of Agency received on or before the deadline. It shall review agency submissions and include in the 2017-2022 PIP only those PAPs which meet the criteria for PIP under Sections A.1, A.3, and A.4 of this Guidelines. The NEDA Secretariat, as Secretariat to the Planning Committees (PC) and Subcommittees (SC), shall endorse/submit to the respective PCs and SCs the result of its validation for confirmation.

C. Planning Committee (PC)/ Subcommittee (SC)¹² validation of the Agency PIP and PIP chapter write-up.

The Agency PIP submission will be reviewed and validated by the concerned PCs/SCs through the NEDA/PC Secretariat based on developed prioritization criteria for inclusion in the 2017-2022 PIP. The PCs/SCs may formulate their own prioritization/validation criteria or modify the prioritization criteria used by the agencies to ensure the responsiveness of the Agency PIP submission to the PDP targets and contribution to RM outcome indicators.

The PCs/SCs shall also review and validate the likelihood of achieving end-of-Plan targets of outcome indicators of their respective sectors given the list of PAPs in the Agency submissions during the formulation and midterm review of the PDP-RM-PIP.

The PCs/SCs/Inter-PC shall convene meetings to finalize the list of PAPs to be included in the PIP Chapters and discuss cross-cutting issues and concerns on the Agency PIP submissions.

D. PIP updating and revalidation. Timelines for the PIP updating and revalidation will be based on the activities and timelines of the 2017-2022 PDP and RM formulation and updating, as well as the schedule of the annual budget call or as the need arises to include other PAPs.

E. Monitoring and Reporting of the PIP. A monitoring framework will be established to effectively implement the PIP and strengthen linkage with the planning and budgeting process. Outcome and output indicators will be monitored in relation to the

¹² Creation of the Planning Committee and Subcommittee based on the Planning Guidelines.

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Plan and RM, based on sex-disaggregated data, wherever applicable, through the Socioeconomic Report.

The result of the PIP formulation and/or CIP updating will be provided regularly to the ICC and DBM.

For details about the activities and timelines, please refer to *Annex D* and for the detailed PIP formulation and updating process flow, please refer to *Annex E*.

Annexes

Annex A – Guidelines for the Annual Updating of the Current Core Investment Programs and Projects issued to the agencies through a memorandum dated 30 June 2016

Annex B – Official endorsement letter template of Agency PIP submission to NEDA

Annex C – Criteria for Inclusion and Prioritization of Programs and Projects in the PIP

Annex D – Activities and Timelines for the 2017-2022 PIP Formulation of the 2017-2022 PIP

Annex E – PIP Formulation and Updating Process Flow

(Glossary of Terms is available in the PIPOL System)

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REPUBLIC OF THE PHILIPPINES
NATIONAL ECONOMIC AND DEVELOPMENT AUTHORITY

MEMORANDUM

FOR : Heads of National Government Agencies (NGAs), Government- Owned and Controlled Corporations (GOCCs), Government Financial Institutions (GFIs) and Other National Government Offices

FROM : Deputy Director General Rolando G. Tungpalan
National Development Office for Investment Programming

SUBJECT : **UPDATING OF THE CORE INVESTMENT PROGRAMS AND PROJECTS (CIP) UNDER THE PUBLIC INVESTMENT PROGRAM (PIP)**

DATE : JUN 30 2016

1. As part of NEDA's investment programming function, this is to call for the updating of the Core Investment Programs and Projects (CIPs) in relation to the current¹ Philippine Development Plan (PDP) and in response to the Ten (10)-point Socioeconomic Agenda of the new Administration². The CIP serves as the pipeline for the Investment Coordination Committee (ICC) and the NEDA Board in their approval of big ticket programs and projects costing PhP1 billion and above.
2. In view of this, we are requesting all concerned national government agencies/offices to submit their updated CIPs to NEDA. Please be guided by the attached **Guidelines for the Annual Updating of the Current CIP (Attachment 1)** with the following attachments (also accessible in the NEDA website):
 - a. Annex A – Ten (10)-point Socioeconomic Agenda
 - b. Annex B – List of CIP under the 2011-2016 Revalidated PIP
 - c. Annex C – Activities and Timelines for the CIP Submission
3. In submitting their CIPs, agencies shall utilize the **PIP Online (PIPOL) System**, a web-based project database system that manages data entry and updates on programs and projects, including the generation of reports. This can be accessed at URL address: <https://pipol.neda.gov.ph> starting 15 July 2016.

¹ 2011-2016 PDP

² as presented during the "Sulong: Hakbang Tungo sa Kaunlaran" consultative workshop held on June 20-21, 2016.

4. To ensure accountability, accuracy and timeliness of submission of Agency inputs to NEDA, we would like to request you to nominate your official Agency PIP Focal with at least Director IV level position, and two (2) technical staff(s) who will be authorized to access the PIPOL System and encode the data requirements for Programs, Activities and Projects (PAPs) to be submitted in the CIP using the attached **Agency PIP Focal Designation Form (Attachment 2)**. The designated PIPOL user from the agencies will be given a username and password to access the system.
5. While a User's Manual can be accessed through the PIPOL System, we are likewise convening a Briefing on the Guidelines and Hands-On Session on the PIPOL System on **27 July 2016, Wednesday, 9:00 am to 4:00 pm** (venue to be announced later). To address technical support requests from agencies, a continuing hands-on session will be scheduled until 2nd week of August 2016 at the NEDA Central Office. Moreover, agencies may contact our **PIPOL System Help Desk at 631-2165 or 631-0945 loc. 404 from 9:00 am to 6:00 pm, Monday to Friday.**
6. We would appreciate receiving the duly accomplished **Agency PIP Focal Designation Form, and Confirmation Slip for the Briefing (Attachment 3)** by facsimile to 631-3748 or email pip@neda.gov.ph **on or before 15 July 2016, Friday, 12:00 noon** so we can provide your respective Agency username and password, and copy of the PIPOL System User's Manual. For any queries, please do not hesitate to contact our Help Desk.

Thank you.


ROLANDO G. TUNGPALAN

July 15, 2016

Guidelines for the Annual Updating of the Current Core Investment Programs and Projects (CIP)

I. Objective

This Guideline sets out the framework for defining the current and proposed programs, activities and projects (PAPs) for the following:

- (A) Updating the current Core Investment Programs and Projects (CIPs) in relation to the current¹ Philippine Development Plan (PDP) and in response to the priorities of the new Administration²; and
- (B) Providing basic elements for the formulation of the new Public Investment Program (PIP) aligned with the formulation of a new PDP by the new Administration.

II. Mandate

As mandated by Executive Order No. 230, s. 1987, the National Economic and Development Authority (NEDA) shall be responsible for coordinating the formulation of continuing, and integrated socio-economic development plans, policies and programs, including the formulation of annual and medium-term public investment programs. The linkage between development planning, programming and budgeting shall be of the highest priority in planning and budgeting activities.

III. Plan-Program-Budget (PDP-RM-PIP/TRIP/CIP) Framework

The **Philippine Development Plan (PDP)** is a national planning document, prepared at the start of a new leadership, which highlights the aspirations and goals of the country for the next six (6) years.

The **Results Matrices (RM)** of the PDP is an instrument designed to provide results orientation of the PDP by highlighting the achievement of results at different levels (i.e. outputs, outcomes and impacts). It contains statement of results to be achieved for sector and sub-sector outcomes with corresponding baseline information, indicators, end of Plan targets and responsible agencies.

The **Public Investment Program (PIP)** contains the priority PAPs to be implemented by the national government, government-owned and controlled corporations or GOCCs, government financial institutions or GFIs, and other national government offices that contribute to the societal goals and outcomes in the PDP within the medium term and aligned with the outputs, outcomes and impacts in the RM.

The **Three (3)-Year Rolling Infrastructure Program (TRIP)**³ is a key feature of the budgetary reform used to synchronize and tighten the link between planning and budgeting of

¹ 2011-2016 PDP

² Ten (10)-Point Socioeconomic Agenda as of 20 June 2016

³ Pursuant to the Department of Budget and Management (DBM) and NEDA Joint Circular No. 2016-01 Policy Guidelines and Procedures for the Formulation of the Three (3)-Year Rolling Infrastructure Program (TRIP) issued on 29 January 2016.

all infrastructure PAPs of the Government. It shall be used to build the pipeline of strategic and other infrastructure projects needed to sustain inclusive economic growth.

The **Core Investment Programs and Projects** contains the big ticket PAPs of the PIP¹ that serves as pipeline for the Investment Coordination Committee (ICC) and the NEDA Board. PAPs in the CIP satisfy all of the following criteria:

A. Responsiveness

1. Responsive to the current PDP-RM and the Ten (10)-point Socioeconomic Agenda (**Annex A**): **AND**
2. Included in any of the following:
 - a. National Expenditure Program (NEP);
 - b. General Appropriations Act (GAA);
 - c. Multi-Year Obligation Authority (MYOA);
 - d. Existing masterplan/sector studies/procurement plan;
 - e. List of Regional Development Council-endorsed projects.

B. Readiness

1. To be implemented within 2017-2022: **AND**
2. Approved by the ICC and NEDA Board which are yet to be implemented: **OR**
3. With pre-investment study available and for ICC processing in the next thirty six (36) months as follows:
 - a. Level 1 - with FS completed, for ICC processing in 2017, and for inclusion in the NEP for 2018
 - b. Level 2 - with Ongoing FS to be completed before July 2017, for ICC processing in 2018, and for inclusion in the NEP for 2019
 - c. Level 3 - with Concept Paper and FS for completion in 2018, for ICC processing in 2019, and for inclusion in the NEP for 2020

C. Typologies of PAPs that can be included in the CIP. These are PAPs for ICC processing which could be any of the following:

1. Major capital PAPs with total project cost of at least PhP1 billion;
2. ODA-assisted grants with total project cost of at least PhP1 billion or ODA loans (including program loans, i.e. budget support)⁵ regardless of amount requiring national government guarantee;
3. Relending Activities to national government offices and/or LGUs with total project cost of at least PhP1 billion⁶;
4. Solicited national projects⁷ that may be financed, constructed, operated and maintained by the private sector through the Contractual Arrangements or schemes authorized under these under R.A. 7718 or the Build-Operate-Transfer (BOT) Law and its Implementing Rules and Regulations;

¹ 2017-2022 PIP to be formulated based on the successor PDP formulation timelines.

⁵ Includes stand-alone program loans (i.e. not captured in a mother program already in the PIP) provided that it is directly linked to the RM.

⁶ Provided that it is directly linked to the RM.

⁷ Pursuant to Section 2.6 (a) of the Revised Implementing Rules and Regulations (October 2012) of the BOT Law (RA 6057 as amended by RA 7718).

5. Priority projects under the Joint Venture (JV) Agreement⁸ for ICC processing;
6. Administrative buildings with total project cost of at least PhP 1 billion⁹.

The Agency CIP submission will be validated by the NEDA Secretariat based on the aforementioned criteria. The list of CIPs will be presented to the ICC by December 2016.

IV. Updating of the CIP Using PIP Online (PIPOL) System

- A. Agencies shall submit PAPs for inclusion in the CIP via online submission through the PIP Online (PIPOL) system. This can be accessed at URL address: **<https://pipol.neda.gov.ph>**. **Annex B** contains the list of CIPs submitted by the agencies during the 2013 PIP Revalidation process and updates received henceforth, which can be used by the agencies as starting point in updating their CIPs. Agencies can confirm priority accorded to the PAPs by including it in PIPOL or delete PAPs that are no longer priority of the office or do not qualify as CIP based on the criteria discussed in item III.
- B. Agencies can start inputting PAPs in PIPOL System starting 15 July 2016 and the deadline for the online submission is on **15 September 2016**. The system will no longer accept submissions beyond the deadline. Agencies will be given username and passwords to access the system.
- C. To guide agencies in utilizing PIPOL, the **User's Manual** can be accessed through the PIPOL System. Likewise, briefings and hands-on sessions with the agencies on the system will be conducted. For details about the activities and timelines, please refer to **Annex C**.

V. CIP Updating and Reporting. There will be annual updating of the CIP simultaneous with the TRIP to serve as pipeline for the ICC and as input in the budget preparation process. CIPs will be regularly monitored and reported to the ICC and submitted to the Department of Budget and Management.

VI. 2017-2022 PIP Formulation. After this CIP updating, the PIP aligned with the new PDP will be formulated. The PIP shall consist of continuing (Tier 1) and new (Tier 2) PAPs aligned with the new PDP **regardless of total project cost**.

Annexes

Annex A – Ten (10)-point Socioeconomic Agenda

Annex B – List of Core Investment Program and Projects under the 2011-2016 Revalidated PIP

Annex C – Activities and Timelines for the CIP Submission

⁸ Pursuant to Section 7.2b of the Revised Guidelines and Procedures for Entering into Joint Venture Agreements Between Government and Private Entities (May 2013).

⁹ Pursuant to 14 July 2014 ICC Procedure on the Review and Approval Process for Public Buildings, Facilities Costing at least PhP 1 Billion.

Official Endorsement Letter Template of Agency PIP Submission to NEDA

(Date)

SECRETARY ERNESTO M. PERNIA
National Economic and Development Authority
12 St. Josemaria Escriva Drive, Ortigas Center
Pasig City

Dear Secretary Pernia:

This is to endorse, for inclusion in the 2017-2022 Public Investment Program (PIP), the following priority programs and projects of the Department as encoded in the PIP Online (PIPOL) system.

PAP Name	PAP Output	Proposed Implementation Scheme	Total Project Cost	Spatial Coverage	Status
A. Tier 1					
1. Project 1					
2. Project N					
B. Tier 2					
1. Project 1					
2. Project N					
Grand Total			xxx		

Warm regards.

Very truly yours,

(Name and Signature of the Head of Agency)
(Agency Name)

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Criteria for inclusion and prioritization of projects in the PIP

I. AGENCY LEVEL PRIORITIZATION

1. 1st Level Prioritization: Relevance/responsiveness

Under this first criterion, only programs and projects to be implemented within 2017-2022 that satisfy the following will be included in the PIP:

- a) Aligned with the mandate and functions of the proponent agency; AND
 - Agencies should ensure that programs/projects to be implemented are aligned with their respective Agency mandates.

- b) Responsive to the 2017-2022 PDP/ RM and the 0 + 10-point Socioeconomic Agenda.
 - The program/project output(s) should also be linked with the sector outcome(s)/ output(s) in the RM to establish the program/project's contribution to the achievement of sectoral outcomes and outputs.

If applicable, agencies shall also indicate whether the proposed program/project is included in a) sectoral or intersectoral program/framework/masterplan; AND/OR b) policy directives from the NEDA Board-committees (Investment Coordination Committee, Infrastructure Committee, Social Development Committees, Development Budget Coordination Committee, etc.).

2. 2nd Level Prioritization: Project readiness

Programs and projects which comply with the first criterion will be further validated based on the following readiness criteria (stages of project development, expected submission for ICC processing and approval, and inclusion in the National Expenditure Program or NEP):

- (i) Level 1 – with NEDA Board and/or ICC¹ project approval but not yet ongoing

- (ii) Level 2 – with Feasibility Study (FS) completed, for ICC processing in 2017 (where applicable) and for inclusion in the NEP for 2018

- (iii) Level 3 – with FS currently being prepared and to be completed in 2017, for ICC processing in the 2018 (where applicable) and for inclusion in the NEP for 2019

¹ For programs and projects which are not covered by ICC review, implementing agencies shall coordinate with the DBM for inclusion in the NEP.

- (iv) Level 4 – with Concept Paper and FS for completion in 2018, for ICC processing in 2019 (where applicable) and for inclusion in the NEP for 2020.

As a minimum requirement, only priority programs and projects with Concept Paper containing the following information will be included in the PIP:

- a) Desired Outcome/Objectives/Expected Outputs;
 - b) Estimated Project Cost and Annual Investment Targets;
 - c) Target Beneficiaries; and
 - d) Implementation Period/Indicative Timeline of Activities.
3. Based on the aforementioned criteria, agencies will determine the overall level of priority and classify their PAPs according to the following:
- a) High
 - b) Medium
 - c) Low

II. NEDA SECRETARIAT PRIORITIZATION/EVALUATION

The prioritization process of the NEDA Secretariat will involve three (3) stages:

1. First Pass:

The NEDA Secretariat will validate the alignment of the program/project proposals with the mandate of their respective proponent agencies and responsiveness of the program/project to the 2017-2022 PDP/ RM and the 0 + 10-point Socioeconomic Agenda.

Under the Programmatic Approach, the NEDA Secretariat will also evaluate the relevance of the program/project proposals based on its contribution to the sectoral priority areas. Additional emphasis will be given to programs and projects that promotes convergence and contributes to more than one sector or included in sectoral or intersectoral program/framework/masterplan.

In line with the current plan's overall strategy to reduce inequality, the NEDA Secretariat, during validation, shall accord priority to programs and projects that will target regions and provinces with higher level of poverty incidence, vulnerability to climate change impacts, disaster risks, and need of social protection.

2. Second Pass:

At the second pass, the NEDA Secretariat will evaluate proposals using the E(ffectiveness), R(eadiness) and S(ustainability) criteria.

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- a. Effectiveness – evaluation of the extent of the proposed program/project’s contribution to the achievement of the targets and desired outcome identified in the 2017-2022 PDP/ RM and the 0 + 10-point Socioeconomic Agenda, vis-à-vis the cost and amount of resources it will require;
- b. Readiness – evaluation of the implementation readiness of the program/project and whether it satisfies the minimum requirement of having a Concept Paper;
- c. Sustainability – evaluation of the technical capacity of the agency to sustain the benefits of the program/project; budget space to undertake the required O&M, beneficiaries and stakeholders’ support to ensure its sustained operations.

3. Third Pass:

Based on the results of the evaluation at the first and second pass, the NEDA Secretariat will determine the overall level of priority based on the alignment/relevance, ERS criteria and the urgency of the demand for the program or project to be implemented in their respective sector, and classify the program or project as follows:

- a. High
- b. Medium
- c. Low

III. PLANNING COMMITTEE VALIDATION

The results of the NEDA Secretariat’s validation of the PIP submissions will be presented to the Planning Committees (PCs)/Subcommittee (SCs) for validation. The PCs/SCs may adopt or modify the Prioritization Criteria under the PIP Guidelines or formulate its own prioritization criteria and procedure.

An inter-PC will also be convened, as necessary, to validate cross-cutting programs/projects and deliberate on multi-sectoral concerns and conflicting programs/projects.

The PCs/SCs shall validate the initial PIP 2017-2022 and medium-term update of the PIP while the Subcommittees will convene for the validation for the annual PIP/CIP updating. The annual updating of the PIP/CIP will be done to ensure agency’s commitment and accountability in the implementation of the programs/projects in their PIP/CIP submissions.

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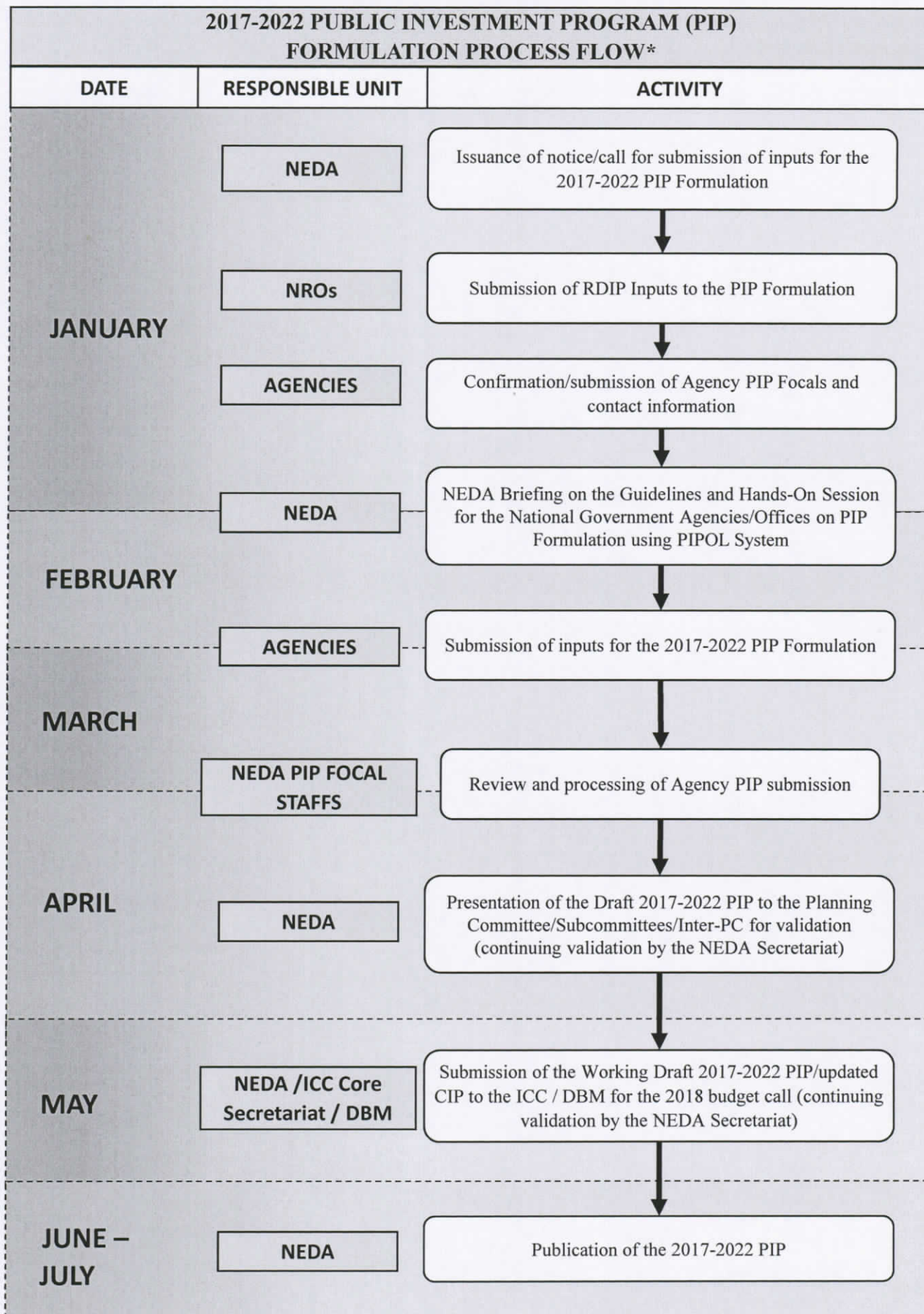
Activities and Timelines for the 2017-2022 PIP Formulation¹

Date FY 2017	Activity	Responsible Unit
January	Issuance of notice/call for submission of inputs for the 2017-2022 PIP Formulation	NEDA
January 23 to 27	Confirmation/submission of Agency PIP Focal persons and contact details to NEDA	All concerned agencies
January 30 to February 10	NEDA Briefing on the Guidelines and Hands-On Session for the National Government Agencies/Offices on PIP Formulation using PIPOL System	NEDA and Agencies
February 1 to March 15	Submission of inputs for the 2017-2022 PIP Formulation	All concerned agencies
March 16 to April 15	Review and processing of Agency PIP submission	NEDA PIP Focal Staffs
April	Review and confirmation of the Draft 2017-2022 PIP ² by the Planning Committee/ Subcommittees /Inter-PC (continuing validation by the NEDA Secretariat)	PCs and SCs; PC/SC Secretariat
May	Submission of the Working Draft 2017-2022 PIP/updated CIP to the ICC / DBM for the 2018 budget call (continuing validation by the NEDA Secretariat)	NEDA / ICC Core Secretariat / DBM
July	Publication of the 2017-2022 PIP	NEDA

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¹ Subject to the planned activities and timelines of the new PDP-RM formulation.

² Including list of PAPs and PIP Chapter write-up.



*Subject to the planned activities and timelines of the new PDP-RM formulation.

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Designation of Agency PIP Focal and Authorized PIP Online (PIPOL) System Users

This is to authorize the following from _____
_____ (Name of Agency) who will be responsible
for the online submission and coordination of Agency CIP updates using the PIPOL System:

1. Agency PIP Focal (Director IV and above)

Name : _____
Designation : _____
Office : _____
Telephone No. : _____
Fax No. : _____
Email Address : _____

2. PIPOL User 1

Name : _____
Designation : _____
Office : _____
Telephone No. : _____
Fax No. : _____
Email Address : _____

3. PIPOL User 2

Name : _____
Designation : _____
Office : _____
Telephone No. : _____
Fax No. : _____
Email Address : _____

Name and Signature of the Head of Agency/Office

Date: _____

Please accomplish this form and send by fax to 631-3748 **not later than 27 January 2017, Friday, 12:00 noon**. For any inquiries, please do not hesitate to coordinate with the PIPOL System Help Desk of the NEDA-Public Investment Staff at contact numbers: DL 631-2165 or TL 631-0945 local 404 or through e-mail address: pip@neda.gov.ph.

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**Confirmation of the Designated Agency PIP Focal and
Authorized PIP Online (PIPOL) System Users**

This is to confirm the designation of the following from _____
_____ (Name of Agency) who have been authorized to submit and coordinate
the submission of Agency CIP updates using the PIPOL System to be the same authorized user to
submit our Agency's PIP input in the PIPOL System :

1. **Agency PIP Focal** (Director IV and above)

Name : _____
Designation : _____
Office : _____
Telephone No. : _____
Fax No. : _____
Email Address : _____

2. **PIPOL User 1**

Name : _____
Designation : _____
Office : _____
Telephone No. : _____
Fax No. : _____
Email Address : _____

3. **PIPOL User 2**

Name : _____
Designation : _____
Office : _____
Telephone No. : _____
Fax No. : _____
Email Address : _____

Name and Signature of the Head of Agency/Office
Date: _____

Please accomplish this form and send by fax to 631-3748 **not later than 27 January 2017, Friday, 12:00 noon**. For any inquiries, please do not hesitate to coordinate with the PIPOL System Help Desk of the NEDA-Public Investment Staff at contact numbers: DL 631-2165 or TL 631-0945 local 404 or through e-mail address: pip@neda.gov.ph.

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**Briefing on the Guidelines and Hands-On Session
on the Formulation of the 2017-2022 PIP using PIP Online (PIPOL) System**

**___ January/ February 2017, 1:00 pm to 5:00 pm
NEDA Board Room, 6/F NEDA sa Pasig Building, 12 St. Escriva Drive, Pasig City**

CONFIRMATION SLIP

NO, we regret that there is no participant from _____.
(Name of Agency/Office)

YES, the following from _____ will attend the Briefing:
(Name of Agency/Office)

1. AGENCY PIP FOCAL

Name : _____
Designation : _____
Office : _____
Telephone No. : _____
Fax No. : _____
Email Address : _____

2. PIPOL User 1

Name : _____
Designation : _____
Office : _____
Telephone No. : _____
Fax No. : _____
Email Address : _____

3. PIPOL User 2

Name : _____
Designation : _____
Office : _____
Telephone No. : _____
Fax No. : _____
Email Address : _____

Please accomplish this form and send by fax to 631-3748 **not later than 27 January 2017, Friday, 12:00 noon**. For any inquiries, please do not hesitate to coordinate with the PIPOL System Help Desk of the NEDA-Public Investment Staff at contact numbers: DL 631-2165 or TL 631-0945 local 404 or through e-mail address: pip@neda.gov.ph.

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